Electronic Acknowledgement Receipt		
EFS ID:	7746926	
Application Number:	10686462	
International Application Number:		
Confirmation Number:	7085	
Title of Invention:	Method and system for the protection of broker and investor relationships, accounts and transactions	
First Named Inventor/Applicant Name:	Steven Kirk Donoho	
Customer Number:	21269	
Filer:	Charles W. Gray/Michele Clark	
Filer Authorized By:	Charles W. Gray	
Attorney Docket Number:	124256.0401	
Receipt Date:	04-JUN-2010	
Filing Date:	15-OCT-2003	
Time Stamp:	12:58:03	
Application Type:	Utility under 35 USC 111(a)	

# **Payment information:**

Submitted with Payment	no
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## File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1		21756M_103800US_Supp_Am endment.pdf	577076	ves	5
			d4d205f4db7d0a91f8652d4797d1b526767 b188e	'	

	Multipart Description/PDF files in .zip description		
	Document Description	Start	End
	Supplemental Response or Supplemental Amendment	1	1
	Claims	2	3
	Applicant Arguments/Remarks Made in an Amendment	4	5
Warnings:			

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#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.